

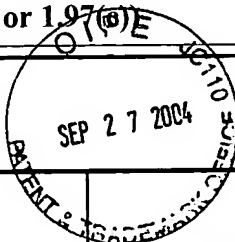
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 328-028	
In Re Application Of: Takanori Yamagishi et al.				
Serial No. 10/775,695	Filing Date 2/20/2004	Examiner Tatyana Zalukaeva	Group Art Unit 1713	
Title: Resist Polymer and Method for Producing the Polymer				
Address to: Assistant Commissioner for Patents Washington, D.C. 20231				
37 CFR 1.97(b)				
1. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.				
37 CFR 1.97(c)				
2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:				
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);				
OR				
<input checked="" type="checkbox"/> the fee set forth in 37 CFR 1.17(p).				

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(e))

Docket No.
328-028

In Re Application: Takanori Yamagishi et al.



Serial No.

10/775,695

Filing Date

2/20/2004

Examiner

Tatyana Zalukaeva

Group Art Unit

1713

Resist Polymer and Method for Producing the Polymer

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☒ A check in the amount of \$180.00 is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-4512 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

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Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited 9/23/04 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Melvin I. Stoltz

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account

Signature

Melvin I. Stoltz

Dated: September 23, 2004

CC:



PATENT
328-028

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Matter of the Application of)

TAKANORI YAMAGISHI ET AL.)

Serial No.: 10/775,695)

Filed: February 10, 2004)

For: Resist Polymer and Method for Producing)
the Polymer)

Melvin I. Stoltz
51 Cherry Street
Milford, CT 06460
September 22, 2004

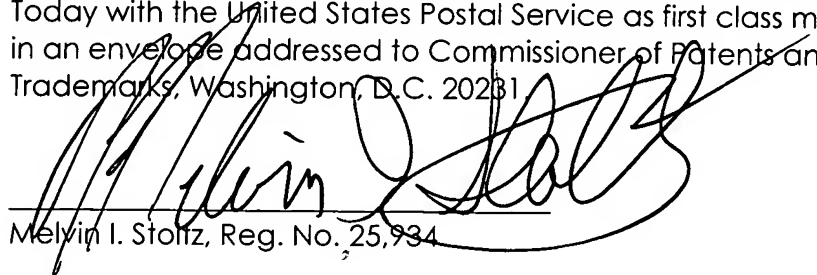
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Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with Applicant's duty to disclose the prior art patents relating to this invention of which the Applicant's are aware, attached herewith is a List of Prior Art Cited by Applicant, PTO Form 1449, together with copies of the prior art

I hereby certify that this correspondence is being deposited Today with the United States Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231


Melvin I. Stoltz, Reg. No. 25,934


Date: September 22, 2004

references cited therein.

Applicant believes that the claim as presently on file adequately distinguish the present invention from the disclosures in these prior art patents and the information hereby supplied is in fulfillment of the requirement for complete disclosure.


It is requested that these references be considered and made of record.

Respectfully submitted,



Melvin J. Stoltz
Registration No. 25,934
Attorney for Applicant

MIS:vld
(203) 874-8183

<div style="text-align: center;">  <p>INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)</p> </div>	ATTY DOCKET NO. 328-028	SERIAL NO. 10/775,695
	Takanori Yamagishi et al.	
	FILING February 10, 2004	GROUP 1713

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		59-45439	03/1984	Japan				
		62-115440	05/1987	Japan				
		3-223860	10/1991	Japan				
		4-104251	04/1992	Japan				
		4-219757	08/1992	Japan				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		11-65120	03/1999	Japan				
		WO99/50322	10/1999	PCT				
		11-295894	10/1999	Japan				
		2001-109153	04/2001	Japan				
		2002-6501	01/2002	Japan				

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

EXAMINER	DATE CONSIDERED
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